



Compliance Insights

A PwC Product

Automate regulatory compliances,
internal controls, vendor
management and monitoring



Your challenges



Do you have a complex web of multiple businesses, processes and locations giving rise to many compliances, and controls to be monitored?



Does manual reporting and tracking of compliances, internal controls and vendor management consume a lot of your person man hours?



Is your compliance reporting and monitoring reliable?



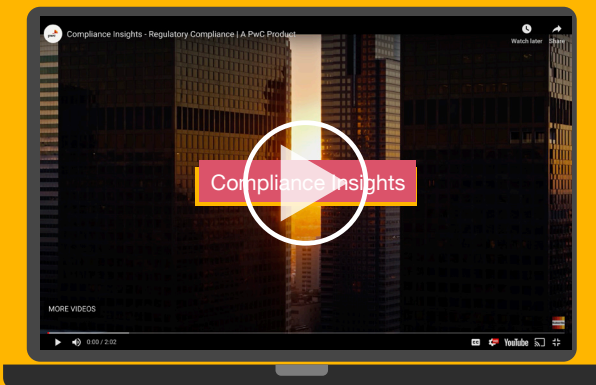
Do you have accurate visibility of control/compliance status applicable to your organisation?



Do you have adequate automated tracking of remediation plans for control gaps?

Our solution

Compliance Insights is a web-based solution for managing statutory and, vendor compliances, and internal controls of the organisation by maintaining a repository of obligations, and creating task-based workflow with user ownership matrix and presentation abilities using various dashboards and reports.



Value proposition



Compliance Insights comprises two modules



Regulatory compliance: Defines a robust compliance operating model and builds a customised repository of compliances applicable to your operations across law areas



Internal controls: Monitors and reports internal controls of the organisation



Value proposition

Features



Transparency

Single repository of laws, compliances, statutory forms, compliance evidence, vendors and internal controls



Risk severity

Risk-based classification of compliances and controls to focus on compliance risks that matter



Accountability and workflows

Clear assignment of roles and responsibilities and approval workflows and escalation mechanism



Compliance certificates and dashboards

Digital compliance certificates for board reporting and customised dashboards



Audit module

Compliance audits and trails

Benefits



Preloaded central repository

- Acts as a central repository of laws, compliances, statutory forms, licenses and evidences
- Risk-based classification of obligations based on impact, likelihood and local enforcement trends, etc.



Clear assignment of roles and responsibilities

- Enables creation of maker checker
- Defined escalation levels



Customised dashboards, reporting and effective compliance management

- Customisable alerts and reminders
- Presence of a comprehensive audit trail



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